| **Trip Goals:** | *What is the goal of field trip, i.e. research goals, teaching goals, etc?* |
| --- | --- |
| **Group Information:** | *Name of Research Group / Course; PI / Lead Instructor*  | **Date of Plan creation:** | *Mo-Day-Yr* |
| **Travel Date(s):** | *If specific dates are not available please indicate a range or season. (3/15-28/2024, Fall 2023, garibaldi spawning season)* |

**A field safety plan serves as a tool to document your travel hazard assessment, communication plan, emergency procedures, and required training. Developing and using a field safety plan is part of the Cal/OSHA requirement of an effective Injury and Illness Prevention Plan (IIPP).**

**This plan should not only identify hazards but indicate how they will be addressed and mitigated. For any identified hazards indicate what steps will be taken to minimize the risk to participants.**

**Steps to prepare for field work:**

1. **Complete a field safety plan.**
2. **Complete appropriate training for your site and operations (heat illness, first aid,…).**
3. **Obtain recommended immunizations for your destination (allow 4-6 weeks prior to your trip).**
4. **Hold a pre-trip meeting to review your field safety plan, travel logistics, pack list (including first aid kit), etc. and cover any remaining training needs.**
5. **Register trips via** [**UC Away**](https://ehs.ucop.edu/away/) **for travel alerts, travel insurance documentation, and evacuation services.**

| **Site Information** |
| --- |
| **Geographic Location** | Name: *e.g., Country, State, Field Site*Coordinates (Latitude, Longitude): *XX°X.X from GPS/Map* Link to online map: |
| **Site Information** | *Elevation, terrain, environment. Make special note if the area is Isolated or remote.*  |
| **Travel to Site** | *How will participants get to the field site? Note any dangerous roads or conditions.* *Are there any particular restrictions or challenges to accessing the site? Note any alternate routes.*If traveling more than 100 miles from campus, register your trip at [UC Away](https://ehs.ucop.edu/away/) for UC travel insurance documentation and trip alerts. For international work, the [Worldcue Trip Planner](https://ermsp.ucop.edu/uctrip/) is available to assist with planning logistics, identify local services, and provide guidance regarding local hazards.Travel arrangements can be made through Connexxus, which will provide a detailed itinerary. A travel itinerary template is available on the Field Safety website ([ehs.ucsc.edu/programs/research-safety/field-research/](http://ehs.ucsc.edu/programs/research-safety/field-research/index.html)). |
| **Transportation** | *What vehicles will be used during fieldwork operations? Plane, helicopter, watercraft, car, ATV.* *UCSC Risk Services manages a variety of insurance programs, consult their website (*[*http://risk.ucsc.edu/insurance/insurance-programs/index.html*](https://risk.ucsc.edu/insurance/insurance-programs/index.html)*) for assistance.* |
| **Wildlife** | *Describe any dangerous animals, insects, diseases, poisonous plants that participants may encounter. Provide intended mitigation measures.*  |
| **Regional Stability** |  *Are there situations (at field site or during travel) where participants could be the target of or exposed to violence? Provide intended mitigation measures if there is a possibility*.The [Worldcue Trip Planner](https://ermsp.ucop.edu/uctrip/) provides detailed information on a variety of regional safety concerns, including a cumulative [Country/City Security Assessment Rating (CSAR)](https://www.worldaware.com/sites/default/files/collateral/Collateral_CSARS%20Overview%202015%202%2013%2015.pdf). Note, travel to any region with a CSAR rating of 4 or 5 (high to very high risk) requires additional review. Please contact EH&S (fieldsafety@ucsc.edu) as soon as possible. |
| **Go/No Go Criteria** | *What are the conditions under which approach to, or activities at, the site should be curtailed or canceled? e.g., Recent heavy rains if access is on dirt roads that have swelling clay soils. Electrical storms, snow, within 2 hours of high tide, or wave heights over 1 m. US State Department travel warning or alert.*  |
| **Expected Weather** | *Make note of extreme conditions that could impact the trip or require additional planning, (e.g. high heat, wind, rain, snow, approaching storm).*  |
| **Drinking Water Availability** | *If the forecast exceeds 80*°*, Cal/OSHA requires access to at least one quart (4 cups) per person per hour for the entire shift, i.e., an 8 hour shift requires 2 gallons per person. Water must be fresh and suitably cool.* *☐Plumbed water available ☐ Water cooler with ice to be provided ☐ Bottled water provided ☐ Other:* |
| **Access to Shade/Shelter** | *If the forecast exceeds 80*°*, shade must be provided by any natural or artificial means for rest breaks. Shade is not considered adequate when heat in the area does not allow the body to cool (e.g. sitting in a hot car).* *☐ Building structures ☐ Trees ☐ Temporary Canopy/Tarp ☐ Vehicle with A/C ☐ Other:* |
| **High Heat Procedures**  | *Required when temperatures are expected to exceed 95° F. If possible limit strenuous tasks to morning or late afternoon hours. Rest breaks in shade must be provided at least 10 minutes every 2 hours (or more if needed). Effective means of communication, observation and monitoring for signs of heat illness are required at all times. Pre-operations meeting required.* *☐ Direct supervision ☐ Buddy system ☐ Reliable cell or radio contact ☐ Other:* |
| **Emergency Services and Contact Information** |
| **Local Contact** | *Name, address & phone #, may be a local colleague/institution, reserve manager, USFS office, etc.* *Lodging location: name, address, phone #*  | **University Contact****(Not participating in trip)** | *Name, number, email; may be a Professor/PI, department contact, supervisor back on campus, etc.* *Frequency of check ins: daily or other?* *Participants and University Contact should have travel itineraries for team members.* |
| **Nearest Emergency Medical Services (EMS)** | *Contact information for nearest emergency medical services first responders. A full telephone number (10-digits in U.S.A.) is preferential to 911.* *Check with local sheriff’s office, USFS, etc. to see how 911 is implemented in the area. In some localities, the best first contact may be with the state police, highway patrol, or county sheriff’s office.* |
| **Nearest Emergency Department (ED)** | *Contact information and driving directions from the site to the nearest provider of emergency medical care. It may be a hospital with an Emergency Department (ED), a clinic, or other provider. Check that the local hospital has an ED that is continuously staffed.*  |
| **Cell Phone Coverage** | **Device carried?** ☐yes ☐no**Type:** **Coverage:** **Nearest location with coverage:** *Carry a satellite communication device for remote locations without cell coverage.*  | **Satellite device (phone, InReach or Personal locator)** | **Device carried?** ☐yes ☐no**Type:** **Name of device if from loaner program:** |
| **Plan for Redundant Remote Communications** | *Detail your plan for minimizing single point communications (e.g., there should not be a single person overseeing access to a single satellite device).* |
| **Nearby Facilities** | *What facilities are available at or near the site: restrooms, water, gas, public phone, store? If not, where are the nearest services along the route?*  |
| **Participant Information** |
| **Field Team/ Participants** | *Primary Field Team Leader: Name, phone number Secondary Field Team Leader: Name, phone number**☐ Field Team/Participant list is attached as training documentation ☐ Other attachment: e.g. course roster* *Is anyone working alone? ☐ Yes ☐ No* *If yes, develop a communications plan with strict check-in procedures.* |
| **Physical Demands** | *List any physical demands required for this trip and training/certification provided. Diving, swimming, hiking, climbing, high altitudes, respirators, heights, confined or restricted spaces, etc. (consult with EH&S regarding appropriate training & documentation).*  |
| **Mental Demands** | *Will there be a high level of stress in the participant’s work? (e.g. work requiring constant alertness for long periods of time, such as a security monitor, or work with high levels of emotional stress such as working in an Emergency Room)*  |
| **First Aid Training** | *Cal/OSHA requires at least one trained person (with current certification) for work in remote locations. List team members trained in first aid and the type of training received.* *Location and description of group medical/first aid kit: Who is carrying it, where is it stored. Brief description of components.*  |
| **Immunizations or Medical Evaluation (if applicable)** | *List required immunizations/prophylaxis or required medical evaluation. (CDC provides recommendations based on location, wwwnc.cdc.gov/travel. For additional medical guidance and immunization services please contact UrgencyMED, (831) 704-3030 or your primary care physician. Allow at least six weeks prior to trip.*  |
| **Equipment and Activities** |
| **Research Hazards** | *Describe the potential research-associated hazardous including: hazardous materials (chemical, biological, radiation, and explosives), exposure to harmful substances (asbestos, lead, mercury, silica), excessive noise, vibration, or high force motion.*  |
| **Research Tools** | *Brief description of tools or equipment that will be used to access research site or during research activities. Indicate if any specific training is required before use. Sharps (knives, razors, needles), hand tools, power tools, heavy machinery, specialty equipment, firearms.*  |
| **Personal Protective Equipment** | **Required—***Boots, safety glasses, PFDs, hardhats, etc.* **Recommended—***Walking sticks, gloves, long pants, etc.*  |
| **Export Controls** | *Note that you must comply with all export control regulations. These regulations cover shipment of controlled physical items, such as scientific equipment that require export licenses from the United States to a foreign country and transfers of controlled information, including technical data.**Contact Lisa Coscarelli (export@ucsc.edu), UCSC Export Control Officer, for information* |
| **Safe and Inclusive Work Environment** |
| **Inclusive Working Environment** | *Describe how shared team definitions of roles, responsibilities, and culture, e.g., codes of conduct will be created and enforced. Include trainings, mentor/mentee mechanisms and field support that might include regular check-ins, and/or developmental events* |
| **Incident Reporting, Response, and Resolution** | *Describe accessible reporting channels, including access to confidential support via phone or internet. Identify whether the reporting channel is 24/7, confidential, etc. Identify and communicate mandated reporters or responsible employees who are required to report to the institution. Identify chain of command - e.g., where do reports go when they are made?* |

**Research Conditions That May Require Additional Documentation**

**Contact EH&S at (831) 459-2553 or** **ehs@ucsc.edu** **for guidance.**

☐ One or more of the following will be handled/transported: hazardous biological, chemical, or radioactive materials, pesticides, animals, or fireworks.

☐ Activities involve any special hazards: ATVs, tractors or other motorized vehicles; rigging, climbing, fall protection; shoring/trenching, digging/excavations, caves, other confined spaces or egress/access limitations; chainsaws, hand held power tools, mechanical blades, bits and pinch points, other hazardous energy (lock-out/block-out); explosives and firearms; lasers, high pressure vacuum, portable welding/soldering devices, other hazardous equipment or tools?

☐ Modes of transportation other than regularly scheduled commercial carriers (e.g. chartering a boat, plane)?

☐ Reviewed the [University Auto Insurance Policy](http://risk.ucsc.edu/insurance/insurance-programs/auto-insurance.html) for university vehicles, personal vehicles, and rental vehicles?

☐ Visas/permits, finances, import/export controls, transportation of specialized equipment, and data security have been considered? [UC Global Operations](http://ucgo.org/) provides some resources.

☐ Considered and discussed personal safety risks during free time, e.g. alcohol or drug use, leaving the group alone, situational awareness, sexual harassment, or local crime/security concerns? The [Worldcue Trip Planner](https://ermsp.ucop.edu/uctrip/enterERM.do) ‘Location Intel’ tab will generate a security brief.

☐ Contacted the [UCSC Export Control Office](https://officeofresearch.ucsc.edu/compliance/services/export-control.html) regarding international transportation of sensitive material including intellectual property (research material, laptops, other valuable documents).

**On-Campus Contacts:**

| **UCSC Police Department Emergency Number:** (831) 459-2345, Non-Emergency Number: (831) 459-2231 |
| --- |
| **University Health Services** | ***Faculty/Staff:***  | <http://risk.ucsc.edu/workers-comp/authorized-medical-providers.html>, Doctors on Duty - (831) 425-7991 |
| ***Students:*** | Cowell Student Health Center, <http://healthcenter.ucsc.edu/>, (831) 459-2211 |
| ***Counseling & Psychological Services (CAPS)*** | <http://caps.ucsc.edu/counseling/crisis-assistance.html>, (831) 459-2628 |
| **Campus Advocacy Resources and Outreach (CARE)** | <https://care.ucsc.edu/>, Same day appointments- (831) 502-2273For support outside of our office hours, please call Monarch Services 24/7 crisis line: 1-888-900-4232 |
| **Environmental Health & Safety (EH&S):** [ehs.ucsc.edu](http://ehs.ucsc.edu/), (831) 459-2553, ehs@ucsc.edu |
| **Travel Insurance** **UCSC:****Emergency Number:**  | (831) 459-1458, <http://risk.ucsc.edu/insurance/insurance-programs/travel-insurance.html> (800) 527-0218 (Domestic) or 1-410-453-6330 (International) |
| **Report injuries:**  | Emergency - 911, Call EH&S at (831) 459-2553, submit report [Employer’s First Report of Injury (EFR, ehs.ucop.edu/efr)](https://ehs.ucop.edu/efr/home) |

**Topics to be Covered With Participants Before Entering the Field**

| **Inclusion and Sexual Violence Sexual Harassment Policy** |
| --- |
| Code of Conduct | Co-develop a Code of Conduct that includes shared expectations for behavior, and acknowledges potential challenges for participants who are not members of well-represented gender, race, and other groups. Include intervention and disciplinary responses for code of conduct breaches. |
| Pre-field training | UC internal and external participants are encouraged to complete interactive, scenario-based bystander intervention and/or violence prevention training. |
| Prevention Policies | Establish policies that clearly define: preventive and response measures for sexual harassment and assault, acceptable drug and alcohol usage, standards for communication, privacy, hygiene and sleeping arrangements |
| Identify Potential Threats | Identify identity-based potential threats and/or risks in your geographic location (including identities not obvious), specify mitigating steps to be taken. |
| Availability of Resources | Ensure the following are communicated and available to all participants: 1) sexual misconduct policy, 2) Code of Conduct, 3) policies for alcohol, drugs, pregnancy, lactation, privacy, hygiene, etc, 3) confidential reporting channels, 4) emergency resources, 5) counseling and victim advocacy services |
| For More Information | [Field Future](https://field-futures.squarespace.com/)s Program– resources and training opportunities [Sexual Violence Sexual Harassment Policy](https://respondent.ucsc.edu/resources/uc-t9-19-0467_sexualviolencesexualharassment_accessible.pdf) |

| **First Aid Reference – Signs & Symptoms of Heat Illness** |
| --- |
| **Signs & Symptoms** | **Treatment** | **Response Action:**  |
| **HEAT EXHAUSTION*** Dizziness, headache
* Rapid heart rate
* Pale, cool, clammy or flushed skin
* Nausea and/or vomiting
* Fatigue, thirst, muscle cramps
 | 1. Stop all exertion.
2. Move to a cool shaded place.
3. Hydrate with cool water.
 | Heat exhaustion is the most common type of heat illness. Initiate treatment. If no improvement, call 911 and seek medical help. Do not return to work in the sun. Heat exhaustion can progress to heat stroke.  |
| **HEAT STROKE*** Disoriented, irritable, combative, unconscious
* Hallucinations, seizures, poor balance
* Rapid heart rate
* Hot, dry and red skin
* Fever, body temperature above 104 °F
 | 1. Move (gently) to a cooler spot in shade.
2. Loosen clothing and spray clothes and exposed skin with water and fan.
3. Cool by placing ice or cold packs along neck, chest, armpits and groin (Do not place ice directly on skin)
 | **Call 911 or seek medical help immediately.** **Heat stroke is a life threatening medical emergency. A victim can die within minutes if not properly treated. Efforts to reduce body temperature must begin immediately!**  |

**Signature of Academic Supervisor/PI:**

**I acknowledge that this safety plan has been prepared in accordance with the requirements of the University of California, Santa Cruz “Field Research Safety” Policy and EH&S “Procedure for Field Research Safety.”**

| **Name** | **Signature** | **Date** | **Phone Number** |
| --- | --- | --- | --- |
|  |  |  |  |

**Field Team/Participant Roster - Training Documentation**

**I verify that I have read this Field Safety Plan, understand its contents, and agree to comply with its requirements.**

| **Name/Phone Number** | **Affiliation** | **Signature** | **Date** | **Emergency Contact/Phone Number** |
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